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1	Drug Delivery to the Human and Mouse Uterus using Immunoliposomes Targeted to			
2	the Oxytocin Receptor			
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21				
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39	preterm birth.
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41	A Targeted Drug Delivery System for the Uterus
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62 Abstract

Background: The ability to provide safe and effective pharmacotherapy during obstetric complications, such as preterm labor or post-partum hemorrhage, is hampered by the systemic toxicity of therapeutic agents leading to adverse side effects in mother and fetus. Development of novel strategies to target tocolytic and uterotonic agents specifically to uterine myocytes would improve therapeutic efficacy while minimizing the risk of side effects. Ligand-targeted liposomes have emerged as a reliable and versatile platform for targeted drug delivery to specific cell types, tissues or organs.

<u>Objective:</u> Our objective was to develop a targeted drug delivery system for the uterus
 utilizing an immunoliposome platform targeting the oxytocin receptor.

72 Study Design: We conjugated liposomes to an antibody that recognizes an extracellular 73 domain of the oxytocin receptor. We then examined the ability of oxytocin receptor-targeted 74 liposomes to deliver contraction blocking (nifedipine, salbutamol and rolipram) or contraction 75 enhancing (dofetilide) agents to strips of spontaneously contracting myometrial tissue in 76 vitro (human and mouse). We evaluated the ability of oxytocin receptor-targeted liposomes 77 to localize to uterine tissue in vivo, and assessed if targeted liposomes loaded with 78 indomethacin were capable of preventing lipopolysaccharide-induced preterm birth in mice. 79 Results: Oxytocin receptor-targeted liposomes loaded with nifedipine, salbutamol or 80 rolipram consistently abolished human myometrial contractions in vitro, while oxytocin 81 receptor-targeted liposomes loaded with dofetilide increased contraction duration. Non-82 targeted control liposomes loaded with these agents had no effect. Similar results were 83 observed in mouse uterine strips. Following in vivo administration to pregnant mice, oxytocin 84 receptor-targeted liposomes localized specifically to the uterine horns and mammary tissue. Targeting increased localization to the uterus 7-fold. Localization was not detected in the 85 86 maternal brain or fetus. Targeted and non-targeted liposomes also localized to the liver. 87 Oxytocin receptor-targeted liposomes loaded with indomethacin were effective in reducing

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rates of preterm birth in mice, whereas non-targeted liposomes loaded with indomethacinhad no effect.

90 <u>Conclusion:</u> Our results demonstrate that oxytocin receptor-targeted liposomes can be used 91 to either inhibit or enhance human uterine contractions *in vitro*. *In vivo*, the liposomes 92 localize to the uterine tissue of pregnant mice and were effective in delivering agents for the 93 prevention of inflammation induced preterm labor. The potential clinical advantage of 94 targeted liposomal drug delivery to the myometrium is reduced dose and reduced toxicity to 95 both mother and fetus.

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97 Key words: contraction, drug delivery, human, immunoliposomes, labor, liposomes, mouse,

98 myometrium, oxytocin receptor, preterm, birth, targeted, tocolysis, uterotonic.

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- 113 Introduction

114 Complications arising from preterm birth (PTB) are the leading cause of death among 115 children under 5 years of age, accounting for nearly 1 million deaths in 2013,¹ while 116 postpartum hemorrhage (PPH) is the leading cause of maternal mortality worldwide, 117 accounting for up to 27.1% of maternal deaths.² Given that both can arise from dysregulation 118 of uterine contractility, the need exists for safe and effective clinical interventions capable of 119 modifying myometrial contractions to improve treatment of women in preterm labor, to 120 induce or facilitate labor and to prevent or treat PPH, without adverse off-target effects on 121 either the mother or fetus.

122 When a woman presents with preterm labor attempts are often made to halt 123 contractions by administering tocolytics that inhibit or block components of the contraction 124 cascade. A recent study proposed that "The ideal tocolytic agent should be myometrium-125 specific, easy to administer, inexpensive, effective in preventing PTB and improve neonatal 126 outcomes, with few maternal, fetal, and neonatal side effects, and without long-term adverse effects".³ Standard therapy varies from country to country, but tocolysis may involve the 127 128 administration of calcium channel blockers, such as nifedipine (NIF), or β₂-adrenergic 129 receptor agonists, such as salbutamol (SAL), an oxytocin receptor antagonist, such as 130 atosiban, or a prostaglandin (PG) synthetase inhibitor, such as indomethacin (IND).4-9 131 Unfortunately, the systemic administration of these therapies and lack of specificity means 132 that large doses need to be administered in order to achieve a therapeutic effect at the target 133 tissue, the myometrium. Maternal side effects of β_2 -adrenergic receptor agonists include 134 tremors, heart palpitations and tachycardia, as well as myocardial ischemia and pulmonary 135 oedema.^{8,10-12} NIF has been associated with fewer side effects, however approximately 1% 136 of women experience a severe side effect and a further 1% experience mild adverse side 137 effects.¹³ Atosiban is associated with the lowest side effect risk but the efficacy of this agent 138 is disputed.^{14,15} Usefulness of IND is limited by fetal side effects, such as premature closure of the ductus arteriosus.¹⁶⁻¹⁸ Achieving targeted drug delivery to the myometrium would 139

reduce the quantity of drug required to achieve therapeutic efficacy, reduce the likelihood of
maternal and fetal side effects, and would therefore represent a significant advancement for
maternal-fetal medicine.^{12,19-21}

143 Targeted liposomes have emerged as a platform for achieving the delivery of drugs 144 to specific tissues. Liposomes are artificial vesicles that range in size from 50 - 1000 nm, and are comprised of one or more phospholipid bilayers.^{22,23} Liposomes are able to 145 146 encapsulate both lipophilic and/or hydrophilic drugs, and are non-toxic and biodegradable 147 with minimal immunogenicity.^{21,24,25} Liposomal encapsulation improves the 148 pharmacokinetics of drugs, particularly if the liposome surface is PEGylated, which reduces 149 uptake by the reticuloendothelial system and prolongs half-life.²⁶ This has led to the 150 development of liposomal-based preparations of various agents, including doxorubicin, amphotericin B, daunorubicin, and verteporfin.²⁷ Ligand-targeted liposomes offer the 151 152 potential for site-specific delivery of drugs to designated cell types or organs in vivo that selectively express specific cell surface cognate receptors.²⁶ Although many types of 153 154 targeting molecules are available, such as peptides/proteins and carbohydrates, the coupling of antibodies to the liposome surface to create immunoliposomes has many 155 156 advantages. One advantage of using antibodies is their stability and higher binding avidity because of the presence of dual binding sites.²⁶ For example, liposomes coated with 157 158 antibodies to intercellular adhesion molecule-1 (ICAM-1) have been developed for the treatment inflammatory diseases.²⁸ Administration of ICAM-1-targeted immunoliposomes 159 160 loaded with an analgesic agent demonstrated specific localization and therapeutic efficacy 161 exclusively in peripheral inflammatory tissue. All control groups (free drug solution, empty 162 non-targeted liposomes, drug-loaded non-targeted liposomes, and empty ICAM-1-targeted 163 immunoliposomes) showed no significant therapeutic response.²⁹

164 The aim of this study was to develop a means of targeting therapeutic agents to 165 uterine myometrial tissue, in order to allow therapeutic modification of myometrial 166 contractions in obstetric settings, such as preterm labor, labor induction and PPH. The 167 expression of the oxytocin receptor (OTR) is significantly upregulated in myometrial cells approaching term.^{30,31} Here we report the development of OTR-targeted PEGylated 168 169 immunoliposomes loaded with traditional tocolytics, such as NIF and SAL, as well as 170 rolipram (ROL), a phosphodiesterase 4 (PDE4) inhibitor and potent inhibitor of myometrial contractions.³²⁻³⁴ Moreover, we report enhancement of human myometrial contraction 171 172 duration *in vitro* through liposomal delivery of dofetilide (DOF), a hERG channel blocker that 173 increases myometrial contraction duration,^{35,36} demonstrating that this delivery platform can 174 be used to either inhibit or enhance contractions in human myometrial tissue. We 175 demonstrate that intravenously administered OTR-targeted liposomes localize specifically 176 to the uterine tissue of pregnant mice in vivo. Finally, using an inflammatory mouse model 177 of preterm birth (lipopolysaccharide (LPS) administration), we show that OTR-targeted 178 liposomes loaded with IND are effective in preventing preterm birth, while IND loaded non-179 targeted liposomes have no effect.

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181 Materials and Methods

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183 Myometrial Tissue acquisition

184 Human Studies: These studies were performed in Newcastle. New South Wales. Australia 185 and were approved by the Hunter and New England Area Human Research Ethics 186 Committee, adhering to guidelines of the University of Newcastle and John Hunter Hospital, 187 Newcastle, Australia (02/06/12/3.13). All participants gave informed written consent. 188 Collection of myometrial samples $(5 \times 5 \times 10 \text{ mm})$ occurred from the lower uterine segment 189 of term singleton pregnancies. All women were examined clinically, and those with signs of 190 infection were excluded. Women were undergoing term elective cesarean delivery and were 191 not-in-labor (NIL); the clinical indications for elective NIL cesarean delivery were previous

192 cesarean section or previous 3rd/4th degree tear. All participants ranged from 37 – 40 193 completed weeks of gestation. Following delivery of the placenta, all women immediately 194 received 5 units of oxytocin (syntocinon) into an intravenous line, which was administered 195 as standard care. Myometrial biopsies were excised 3 – 5 min after oxytocin administration, 196 thus all samples were briefly exposed to oxytocin. After biopsy, myometrial samples were 197 dissected from connective tissue and washed in ice-cold physiological saline.

Mouse *In Vitro* Studies: Mouse uterine horns were dissected from pregnant CD1 Swiss mice
(8 – 10 weeks of age) at term gestation prior to the onset of labor (fetal gestation day 18).
Mouse studies were approved by the University of Newcastle Animal Ethics Committee (A2014-400 / A-2014-429). All mice were housed under SPF/PC2 conditions under a 12 h light
day cycle and had food and water available *ad libitum*.

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204 Liposome Manufacture

205 Liposomes containing NIF, SAL, ROL, DOF (each at approximately 4 mg/mL) or IND 206 (approximately 5.5 mg/mL), as determined by high performance liquid chromatography 207 (HPLC), were manufactured as previously outlined.²⁸ Liposomes were composed of 1,2-208 distearoyl-sn-glycero-2-phosphocholine (DSPC) and cholesterol in a molar ratio of 2:1, and 209 contained 1,2-distearoyl-sn-glycero-3-phospho-ethanolamine-N-[maleimide (polyethylene 210 glycol)-2000] (DSPE-PEG(2000) maleimide) at 1.5 mol percent of DSPC as a coupling lipid 211 (Avanti Polar Lipids). The resulting multilamellar dispersions were reduced in size and 212 lamellarity to approximately 200 nm in diameter by high-pressure extrusion. The activated 213 liposome suspension was then mixed with thiolated polyclonal anti-OTR antibody (Abcam, 214 Cat# ab115664), which was prepared by first conjugating 25 µg of OTR antibody with a 215 heterobifunctional reagent N-succinimidyl-3-(2-pyridyldithio) propionate (SPDP) (Figure 1). The OTR antibody recognizes an extracellular domain of the human OTR. Non-targeted 216 217 liposomes were coated with rabbit immunoglobulin G (IgG). All liposomes incorporated the 218 membrane stain 1,1'-dioctadecyl-3,3,3',3'-tetramethylindocarbocyanine perchlorate (Dil) for 219 fluorescent detection. Unconjugated antibody and non-encapsulated drug was removed by 220 centrifugal filtration of the liposomes through a 100 kDa molecular weight filter (Amicon 221 Ultra-15). Amicon Ultra-15 filters were washed with Milli-Q H₂O before 500 µL of liposome 222 suspension was loaded into the filter reservoir. Liposomes were diluted with 5 mL of sterile 223 0.9% saline and centrifuged at 4000 x g until retentate volume was <250 μ L. Liposomes 224 were then resuspended in a futher 5 mL of 0.9% saline and centrifuged until retentate 225 volume was <250 µL. Filtered liposomes were then collected, transferred to a fresh 226 Eppendorf tube and redispersed to an original volume of 500 µL.

The size distribution of the liposomal dispersion was determined by dynamic laser light scattering (Zetasizer Nano S[™], ATA Scientific). Encapsulation efficiency (EE%) was determined by disrupting the vesicles with ethanol and evaluating drug concentration using HPLC. Quantification of the amount of antibody associated with liposomes was determined using the CBQCA protein assay (ThermoFisher Scientific Inc. Watham, MA, USA), using bovine serum albumin for the preparation of the standard curve.

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234 Myometrial contractility studies

Myometrial strips were set up as previously described.³⁷ Briefly, NIL human myometrial 235 236 samples, or uterine horns obtained from pregnant CD1 Swiss mice, were dissected into strips ($10 \times 2 \times 2$ mm) and suspended in organ baths containing 30 mL physiological saline 237 238 solution (PSS) containing (in mM) NaCl 120, KCl 5, NaHCO₃ 25, KH₂PO₄ 1, MgSO₄ 1.2, 239 CaCl₂ 2.5 and glucose 11, and continuously gassed with carbogen (95% O₂, 5% CO₂), at 240 pH 7.4. Strips were connected to a Grass FT03C force transducer (Grass Instruments, 241 Quincy, MA) and 1 g passive tension applied (1 g was calibrated to equal 1 V). PSS was 242 replaced five times during the first hour, with strips re-tensioned to 1 g passive tension 243 following each wash. Thereafter strips were maintained at 37°C until spontaneous rhythmic contractions developed. Data were digitized using a MacLab/8E data-acquisition system and
 contraction status visualized in real time using Chart software (ADInstruments, NZ). For
 each strip a contraction baseline was acquired to serve as reference.³⁸

247 To administer liposome treatments, 600 µL of PSS buffer was carefully extracted from 248 an organ bath and tranferred to an Eppendorf tube. The appropriate volume of liposome 249 preparation (mixed by inversion) was pipetted into the PSS to pre-dilute the liposomes. The 250 total volume of pre-diluted liposomes (600 µL PSS + liposomes) was then carefully 251 reinjected back into the appropriate organ bath. Final concentrations of each drug were; NIF 252 7.7 µM, SAL 9.25 µM, ROL 19.4 µM and DOF 3.0 µM. Doses were based on prior 253 investigations of the non-encapsulated drug (in vitro contraction assays using human 254 myometrium). Where treated tissue was not washed, tissue strips remained in the presence 255 of the liposomes for the duration of the assay. Where washout studies were performed, 256 organ baths were twice drained of buffer and refilled with 37°C PSS. Human tissue strips 257 were washed after 1 h 25 min whereas mouse tissue strips were washed after 15 min.

Tension generated by tissue strips is indicated in the results and representative contraction traces. The effect of treatments is interpreted relative to the pre-treatment contraction baseline, which consisted of 3 or more contractions of consistent frequency and amplitude.

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263 In vivo biodistribution study

Timed-mated CD1 Swiss pregnant mice were injected with drug-free, Dil-labelled preparations of either non-targeted or OTR-targeted liposomes on fetal gestation days 17 and 18 at 4:00pm. Mice that labored overnight were euthanized on the morning of day 19 (9:00 – 11:00am) by CO₂ asphyxiation. Maternal internal organs of interest (heart, brain, liver, lung, kidney, uterus and mammary tissue) were harvested and transferred to a Petri dish along with a sacrificed neonate. The Petri dish was loaded into an In Vivo Imaging System (IVIS-100) (Xenogen, CA, USA) and a light image captured. Tissues were then imaged under conditions appropriate for the detection of Dil (Excitation: 554 nm; Emission: 583 nm; Filter: DsRed; Exposure: 4 sec; Field of view: 10; Binning: 4). Organs were imaged 17 – 19 h after the second injection, following labor. Background signal was subtracted from the detected signal to produce the final fluorescence image. Fluorescence signal is reported as radiance (p/sec/cm²/sr). The radiance range was kept constant across all images (min = 2.0×10^8 : max = 1.8×10^9).

- 277
- 278 Preterm birth study

Time-mated pregnant CD1 Swiss mice were administered 0.7 μ g/g LPS from E. coli (0111:B4) (Sigma-Aldrich) via intraperitoneal (IP) injection at 12:00pm on gestation day 15 (GA15)(one-time injection). LPS dose had been previously optimized to result in PTB rates of 50 – 70%. Total IP injection volume was 150 μ L in saline.

At 4:00pm on GA15, mice began receiving daily intravenous (IV) injections of IND free-drug or liposomal preparations according to assigned treatment groups. Treatment groups are indicated in Table 1. Total IV injection volume was 150 µL. Mice were monitored for onset of labor every 6 h. Treatments were repeated daily at 4:00pm until all mice labored. Term gestation was 19 – 22 days. Mice that labored within 48 h of receiving LPS (GA17) were deemed to have labored preterm.

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290 Statistical analyses

For contraction traces, LabChart software was used to determine the area under the curve (AUC) (g tension × sec) for the 30 min prior to treatment (pre-treatment) and 30 min after treatment (post-treatment) (ADInstruments, NZ). AUC before and after treatment was compared by two-tailed paired t-test (GraphPad Prism).

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For DOF studies, contraction plateau duration (sec) was determined for four contractions pre- and post-treatment using LabChart software (ADInstruments, NZ). Plateau duration was determined as the time between the point of highest amplitude and point where contraction force declined sharply. Contraction duration data were obtained for 3 individual tissues (n=3 women). Pre- and post-treatment measurements (n=12 each) were compared by two-tailed unpaired t-test.

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Average radiance (p/sec/cm²/sr) was determined for each organ of interest using Living Image software (v2.5). Where fluorescence was detected, regions of interest (ROIs) were applied automatically (contour). Where detection was low or absent, ROIs were specified manually (circles or squares) to tightly encompass the tissue being analyzed. Data were tested for normality by the Shapiro-Wilk normality test (GraphPad Prism). Average radiance for each organ/tissue was compared between treatment groups (n=4 animals per group) by 1-way ANOVA with multiple comparisons (Holm-Sidak) (GraphPad Prism).

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For the preterm labor studies, rate of PTB was compared between treatment groups by Chisquared analysis. Time (h) between LPS injection and labor was calculated. Data were transformed (Y=Y²) to obtain normal distribution (D'Agostino & Pearson normality test) and analyzed by 1-way ANOVA with multiple comparisons (Tukey). Data recorded for number of pups for term deliveries was normally distributed (Shapiro-Wilk normality test) and analyzed by 1-way ANOVA with multiple comparisons (Tukey). Preterm deliveries did not yield any viable pups.

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319 Consumables and reagents

Mice were supplied by the University of Newcastle Animal Support Unit (ASU). Nifedipine (cat#1075), salbutamol hemisulfate (cat#0634), rolipram (cat#0905) and dofetilide

322 (cat#3757) were purchased from Tocris (Bristol, UK). Indomethacin (cat#L2630) was
323 purchased from Sigma-Aldrich Pty. Ltd (Sydney, Australia). Anti-OTR antibody (ab115664)
324 was purchased from Abcam (Cambridge, MA, USA). Other miscellaneous reagents were
325 purchased from Sigma-Aldrich Pty. Ltd and ThermoFisher Scientific Inc. (Watham, MA,
326 USA).

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- 328 Results
- 329
- 330 Characteristics of the liposomal delivery system

331 OTR-targeted PEGylated immunoliposomes had a mean particle size of 197 ± 6.8 nm with 332 a polydispersity index of 0.243 ± 0.043 (Mean \pm S.D.; n=3). The size and polydispersity of 333 the control liposome formulations were similar. Encapsulation of therapeutic agents into the 334 liposomes did not significantly affect the size or polydispersity index. Mean antibody coupling ratio for the OTR-targeted liposomes was $1.86 \pm 0.17 \mu g$ of antibody per μmol of 335 336 phospholipid. With a starting antibody concentration of 25 µg and a phospholipid 337 concentration of 2.03 \times 10⁻⁵ mol this equates to a conjugation efficiency of >99%. The 338 liposomes have a neutral net charge and a drug loading efficiency of >95%, which equates 339 to ~4 mg/mL of drug encapsulated per mL of liposome suspension composed of 16 mg 340 DSPC and 4 mg cholesterol (molar ratio 2:1). In vitro dialysis studies have demonstrated 341 highly stable vesicles upon dilution in an aqueous phase (PBS pH 7.4) and in serum (50% 342 FCS) at 37°C (data not included).

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344 Human myometrial contractility

345 Contraction bioassays were performed to assess whether targeted liposomes were capable 346 of delivering encapsulated therapeutic agents to modulate spontaneous human uterine 347 contractions *in vitro*. Treating the uterine strips with OTR-targeted liposomes that contained 348 no therapeutic agent (n=3) (Figure 2Ai) had no effect on myometrial contractility in that AUC 349 was not affected (p=0.08; pre-treatment = 1790.0 ± 19.5; post-treatment = 1704.0 ± 38.8 350 g.sec) (Figure 2Aii). For each therapeutic agent examined in vitro, we prepared non-targeted 351 (IgG-coated) and OTR-targeted (anti-OTR-coated) liposomes (at 4 mg/mL). Administering 352 7.7 µM NIF to human uterine strips via non-targeted NIF-loaded liposomes (Figure 2Bi) 353 (n=5) had no effect on contractility in that AUC the curve was not affected (p=0.4136; pre-354 treatment = 1701.4 ± 55.9; post-treatment = 1643.8 ± 19.6 g.sec) (Figure 2Bii). 355 Administering 7.7 µM NIF via OTR-targeted NIF-loaded liposomes (Figure 2Biii) (n=4) 356 resulted in abolition of myometrial contractions and a significant reduction in AUC 357 $(p=0.0277; \text{ pre-treatment} = 1767.8 \pm 15.5; \text{ post-treatment} = 1137.5 \pm 24.8 \text{ g.sec})$ (Figure 358 2Biv).

359 Administering 9.25 µM SAL to spontaneously contracting human uterine strips via 360 non-targeted SAL-loaded liposomes (Figure 2Ci) (n=3) had no effect on contractility with 361 AUC being unaffected by the treatment (p=0.2022; pre-treatment = 1775.3 ± 24.8; post-362 treatment = 1749.3 ± 16.4 g.sec) (Figure 2Cii). Administering 9.25 µM SAL via OTR-targeted 363 SAL-loaded liposomes (Figure 2Ciii) (n=3) resulted in complete abolition of contractions and 364 significant reduction in AUC (p=0.0293; pre-treatment = 1749.7 ± 27.3; post-treatment = 365 1292.0 ± 77.1 g.sec) (Figure 2Civ). Similar results were observed when ROL was 366 encapsulated in non-targeted and OTR-targeted liposomes (Figure 4).

To demonstrate that our OTR-targeted liposomes are capable of functioning as a drug delivery system for different obstetric applications, such as treating PPH, liposomes were prepared that contained the hERG channel blocker, DOF. When administered to human myometrial tissue, DOF increases the contraction duration and reduces contraction frequency by delaying repolarization of the myocyte membrane.³⁶ Administering 3.0 μ M DOF to spontaneously contracting tissue strips (n=3) via non-targeted DOF-loaded liposomes (Figure 3Ai) had no significant effect on contraction plateau duration (*p*=0.083; 374 pre-treatment = 27.0 ± 0.8; post-treatment = 39.0 ± 3.7 sec) (Figure 3Bi). When administered 375 via OTR-targeted DOF-loaded liposomes (Figure 3Aii), 3.0 μ M DOF significantly increased 376 contraction plateau duration (*p*=0.0001; pre-treatment = 66.4.0 ± 9.8; post-treatment = 162.4 377 ± 35.4 sec) (Figure 3Bii). Increased contraction plateau duration is consistent with our 378 previous report of DOF action on human myometrium.³⁶ These results demonstrate that a 379 single delivery system, OTR-targeted liposomes, can be utilized to deliver either contraction 380 blocking or contraction promoting therapeutics to uterine myocytes.

The effect of non-targeted and OTR-targeted DOF-loaded liposomes on the AUC was
 analyzed. Neither treatment significantly affected AUC (data not shown).

383

384 Effect of targeted liposomes is reversible

385 To demonstrate that the effects on contractility were due to pharmacological actions of the 386 drugs and not the result of toxic effects of OTR-targeted liposomes, washout experiments 387 were performed. ROL is a reversible inhibitor of phosphodiesterase (PDE) IV that induces myometrial relaxation.^{39,40} Administering 19.4 µM ROL to contracting strips via non-targeted 388 389 ROL-loaded liposomes (Figure 4Ai) (n=3) had no effect. When 19.4 µM ROL was 390 administered via OTR-targeted ROL-loaded liposomes (Figure 4Aii) (n=3) contractions were 391 abolished. Analyses of contraction data indicated no reduction in AUC following treatment 392 with non-targeted ROL-loaded liposomes (p=0.061; pre-treatment = 1657.0 ± 21.0; posttreatment = 1611.7 ± 14.9 g.sec) (Figure 4Bi), whereas AUC was significantly reduced 393 394 following treatment with 19.4 µM ROL administered via OTR-targeted ROL-loaded 395 liposomes (p=0.0023; pre-treatment = 1648.1 ± 14.3; post-treatment = 1155.7 ± 36.3 g.sec) 396 (Figure 4Bii). Once contractions had been inhibited for 1 h 25 min, tissue strips were washed 397 twice in PSS and monitored. Spontaneous, rhythmic contractions resumed in myometrial 398 strips previously treated with OTR-targeted ROL-loaded liposomes (Figure 4Aii), indicating 399 that the tissue remained viable.

401 Mouse myometrial contractility

402 Prior to commencing mouse in vivo studies, we confirmed that liposomes were effective in 403 delivering therapeutic agents to mouse uterine tissue in vitro. Results observed in the mouse 404 were consistent with human myometrial contractility studies. OTR-targeted, drug-free 405 liposomes (a control preparation) had no effect on mouse uterine contractions (Figure 5A) 406 (n=3). Administering 9.25 µM SAL via non-targeted (IgG-coated control) SAL-loaded 407 liposomes had no effect on contractility (Figure 5Bi) (n=3), whereas the same SAL dose 408 administered via OTR-targeted SAL-loaded liposomes abolished mouse myometrial 409 contractions in vitro (Figure 5Bii) (n=3). Spontaneous contractions resumed following 410 washing of tissue strips, demonstrating that the mouse uterine tissue remained viable 411 following administration of the liposomes. Similar results were obtained for liposomes loaded 412 with NIF (data not shown). These results demonstrated that OTR-targeted liposomes were 413 effective in modulating mouse myometrial contractility.

414

415 *Liposome biodistribution*

416 We examined the biodistribution of Dil-labelled non-targeted (naked) and OTR-417 targeted liposomes that occurred in vivo. Pregnant mice were injected with liposomes 418 approaching term (GA17 and 18) then scarified shortly after labor (GA19) (17 - 19 h after 419 injection). Whole organs (liver, brain, heart, kidney, lung, mammary tissue and uterus) were 420 placed on Petri dishes, along with a euthanized neonate, and imaged. The arrangement of 421 tissues (Figure 6A) was kept consistent when imaging tissues from different mice. Dil does 422 not readily exchange out of liposomes into cell membranes or other lipid-containing 423 structures, and therefore is an appropriate marker to assess the biodistribution of liposomes. Fluorescence detection (p/sec/cm²/sr) of non-targeted liposomes injected into 424 425 pregnant mice consistently revealed liposome accumulation in the liver, which is the site of liposome clearance from the blood stream and metabolism.⁴¹ Accumulation of non-targeted liposomes was not detected in the brain, heart, kidney, lung, mammary tissue or uterus nor in the neonates (n=4 each) (Figure 6Bi). Organs isolated from mice injected with OTRtargeted liposomes showed accumulation of the OTR-targeted liposomes in the uterus and the mammary glands. As expected, there were also high levels of liposome localization in the liver. OTR-targeted liposome accumulation was not detected in the brain, heart, kidney or lung, nor in the neonates (n=4 each) (Figure 6Bii).

433 Dil fluorescence was quantified for each organ (Table 2). OTR targeting of liposomes 434 resulted in significantly increased localization to the uterus compared to non-targeted 435 liposomes (p<0.0001; non-targeted = 5.04 x 10⁷ ± 7.5 x 10⁶; OTR-targeted = 3.57 x 10⁸ ± 436 3.05×10^7 p/sec/cm²/sr) (Figure 6C). On average this equalled a 7-fold increase in uterine 437 localization. Furthermore, the level of OTR-targeted liposome localization in the uterus was 438 significantly greater than that of brain (p=0.0002), lung (p=0.0003), kidney (p=0.0005), heart (p<0.0001) and neonate (p<0.0001) (Figure 6C). For both non-targeted and OTR-targeted 439 440 liposomes, accumulation in the liver was significantly greater than all other organs examined 441 (p<0.0001), however there was no difference in liposome accumulation in the liver between non-targeted and OTR-targeted liposomes (p>0.9999; non-targeted = 7.90 x 10⁸ ± 9.15 x 442 10^7 ; OTR-targeted = 7.37 × $10^8 \pm 9.05 \times 10^7$) (Figure 6C). 443

444

445 Preventing preterm birth

We used an LPS model of PTB to access whether targeted liposomes could be used to administer IND for the prevention of LPS-induced PTB in mice. Non-targeted and OTRtargeted liposomes loaded with 5.5 mg/mL IND were compared against IND administered as free-drug (1.0 or 2.0 mg/kg/day). Observed PTB rates are indicated in Table 3. Chisquared analyses were performed. 451 PTB rates in control mice (Group 1) and the LPS control group (Group 2) were 0 452 (n=12) and 67% (n=18), respectively. At 2.0 mg/kg/day, IND administered as free-drug 453 significantly reduced rates of PTB from 67% down to 31% (Group 2 (n=18) vs Group 4 454 (n=13); *p*=0.0484) (Figure 7A). PTB rate for OTR-targeted, drug-free control liposomes 455 (Group 5) was 56% (n=16), and was not different to PTB rate observed for LPS control 456 animals (Group 2) (p=0.532). IND administered at 2.0 mg/kg/day via non-targeted liposomes 457 (Group 6) (n=12) had no effect as the observed PTB rate of 58% was not significantly 458 different to PTB rates for LPS control animals (Group 2) (p=0.643) or animals treated with 459 OTR-targeted, drug-free liposomes (Group 5) (p=0.91) (Figure 7A).

460 IND administered at 2.0 mg/kg/day via OTR-targeted liposomes (Group 7) (n=11) 461 resulted in a PTB rate of 18%, which was a significant reduction compared to the PTB rate 462 of 67% for the LPS control animals (Group 2) (p=0.0112) (Figure 7A). Furthermore, PTB 463 rate for 2.0 mg/kg/day IND administered via OTR-targeted liposomes was significantly 464 reduced compared to the same dose administered by non-targeted liposomes (Group 6) 465 (p=0.048). No significant difference was observed between 2.0 mg/kg/day IND admnistrered 466 as free-drug compared to when administered via OTR-targeted liposomes (Group 4 vs 467 Group7; *p*=0.4780) (Figure 7A).

468 The time between LPS injection and labor was calculated for each animal (average 469 ± SEM shown in Table 3). Analysis of the normalised data showed that IP administration of 470 LPS (0.7 µg/g) significantly advanced the time of labor, compared to control animals (Group 471 1 vs Group 2; p=0.0017)(Figure 7B). IND administered as free-drug at 1.0 and 2.0 mg/kg/day 472 dose-dependently increased the average time between LPS injection and labor (77.5 ± 14.1 473 and 86.6 \pm 12.7 h, respectively) compared to the LPS control (50.8 \pm 8.9 h), however neither 474 dose reached statistical significance (Group 2 vs Group 3; p=0.53) (Group 2 vs Group 4; 475 p=0.08) (Figure 7B). OTR-targeted, drug-free liposomes had no effect on time between LPS 476 injection and labor, compared to LPS control animals (Group 2 vs Group 5; p=0.92). 2.0

477 mg/kg/day IND administered via non-targeted liposomes had no significant effect on the 478 time between LPS injection and labor (Group 2 vs Group 6; p=0.99), however, when 479 administered via OTR-targeted liposomes, time between LPS injection and labor was 480 significantly increased (Group 2 vs Group 7; p=0.0048). The time between LPS injection 481 and labor was significantly different between 2.0 mg/kg/day IND delivered via non-targeted 482 liposomes compared to OTR-targeted liposomes (Group 6 vs Group 7; p=0.0438).

Number of live pups was recorded for term deliveries (no viable pups arose from preterm deliveries). Data were normally distributed (Shapiro-Wilk normality test) and analyzed by 1way ANOVA with multiple comparisons (Tukey). There was no significant difference in the number of live pups from term deliveries in the different groups (Figure 7C).

487

488 **Comment**

489 Principal Findings

OTRs are expressed at low levels on various tissues toward the end of pregnancy. 490 including the brain and mammary tissue.⁴² Expression in the pregnant uterus however is 491 high approaching term,^{30,31} indicating that the OTR is an excellent candidate for the 492 493 development of a targeted drug delivery system for the uterus. This study represents an 494 initial analysis of OTR-targeted liposomes as a drug delivery system, and demonstrates that: 495 conjugation of the OTR antibody to the surface of liposomes confers the ability for (i) 496 NIF-, SAL- and ROL-loaded liposomes to significantly reduce human myometrial 497 contractions in vitro, as confirmed by AUC analyses,

498 (ii) enhancement of myometrial contractility can be achieved through encapsulation
 499 of uterotonic agents, as confirmed by use of OTR-targeted DOF-loaded liposomes
 500 to significantly increase contraction plateau duration,

- 501 (iii) non-targeted liposomes loaded with these same therapeutic agents do not affect
 502 myometrial contractions *in vitro*, as confirmed by AUC and contraction plateau
 503 duration analyses,
- (iv) the effects are reversible (depending on the therapeutic), as confirmed by the
 spontaneous resumption of contractions in both human and mouse myometrial
 tissue *in vitro*,
- 507 (v) the OTR-targeted liposomes themselves have no apparent effect on myometrial 508 contractions, as confirmed by AUC analyses for myometrial contractions in vitro, 509 and lack of effect on PTB rates in mice or time between LPS injection and labor,
- (vi) *in vivo*, OTR-targeted liposomes localize to the uterus and breast of pregnant
 mice whereas non-targeted liposomes do not. Uterine localization was increased
 7-fold by OTR targeting, as confirmed by quantitation of average radiance for key
 organs of interest,
- 514 (vii) no evidence of transplacental passage of the liposomes to the fetus was 515 observed, as determined quantitative evaluation of Dil fluorescence in neonates,
- 516 (viii) OTR-targeted liposomes loaded with IND are effective in reducing rates of LPS-
- 517 induced PTB in mice whereas non-targeted IND-loaded liposomes have no effect.
- 518
- 519 Clinical Significance

520 Many current tocolytics have been associated with adverse effects on the mother (β -521 sympathomimetics)^{6,43,44} and on the fetus (NIF, IND)^{16-18,45,46} or have no evident effect on 522 prolongation of pregnancy (atosiban).^{14,15} NIF is capable of providing some clinical benefit, 523 with a systematic review and meta-analysis indicating a significant reduction in the risk of 524 delivery within 7 days of initiation of NIF treatment.³ However, the high doses required to 525 achieve relaxation of the myometrium increases the risk of adverse systemic effects.⁴⁵⁻⁴⁷ 526 Indomethacin has been explored as a tocolytic agent for preterm labor,⁴⁸⁻⁵⁰ however, 527 systematic review indicates that indomethacin is associated with an increased risk for 528 severe intraventricular hemorrhage, necrotizing enterocolitis, and periventricular 529 leukomalacia⁵¹. A recent study by Refuerzo et al. (2015) demonstrated that encapsulation 530 of IND inside non-targeted liposomes can reduce IND levels in the fetus 7.6-fold, suggesting 531 the potential for reduced fetal side effects.⁵²

Here we have demonstrated in mice that IND encapsulated inside OTR-targeted liposomes was effective in reducing rates of LPS-induced preterm birth, whereas nontargeted IND-loaded liposomes were not. These results, in conjunction with our biodistribution studies, suggest that OTR-targeting confers upon liposomes the ability to target therapeutics to the uterus. The clinical implications are that OTR-targeted liposomes may enable existing tocolytics, such as NIF and IND, to be administered with improved efficacy and improved safety.

539 The restricted biodistribution of OTR-targeted liposomes raises the possibility of introducing into clinical practice therapeutic agents that are known to be highly effective 540 541 tocolytics, yet are known to have adverse off-target effects. One such group of candidates 542 are the PDE4 inhibitors, such as ROL, which have been demonstrated to be highly effective in controlling inflammation-driven preterm delivery in mice.⁵³ Mounting evidence indicates 543 544 that PTB in humans is also an inflammation driven event, and evidence that ROL is highly effective in abolishing spontaneous contractions in human myometrium³³ suggests that 545 546 PDE4 inhibitors may be an excellent cargo for OTR-targeted liposomes in the setting of 547 preterm labor.

548 Clinical implications also include the prospect of encapsulating uterotonic agents, and 549 here we demonstrate that possibility through the use of DOF. DOF is not a traditional 550 uterotonic agent, but when administered via OTR-targeted liposomes DOF significantly 551 increased the duration of human myometrial contractions *in vitro*, consistent with previous 552 findings.³⁶ Targeted delivery of uterotonics may be useful to promote contractions, including 553 during failure of labor to progress, expulsion of the placenta after labor, expulsion of retained products after miscarriage, or to control PPH. PPH is a leading cause of maternal mortality 554 555 world-wide and is linked with major morbidities such as peripartum hysterectomy and 556 massive transfusion.⁵⁴⁻⁵⁶ First line therapy for PPH is uterine massage and oxytocin 557 administration, however rates of atonic PPH after oxytocin use are increasing in many developed countries.^{57,58} When refractory uterine atony occurs, second line therapy may 558 559 include administration of uterotonic agents such as methylergonovine and carboprost. Methylergonovine was recently identified as the more effective of the two,⁵⁹ however both 560 561 agents could effectively be encapsulated in OTR-targeted liposomes. Evidence indicates 562 that post-receptor contractile signalling pathways are maintained in oxytocin desensitized primary myocytes *in vitro*,⁶⁰ however oxytocin desensitization occurs, at least in part, by 563 down-regulation of OTR protein levels.⁶¹ Uterotonic-loaded liposomes targeted to the 564 565 oxytocin receptor may therefore be of reduced effectiveness in patients with prolonged 566 exposure to oxytocin.

567 Future Research

These data provide the first evidence that OTR-targeted liposomes are a drug delivery system that affords flexibility in delivery of different classes of therapeutic agents to human uterine tissue in order to modulate myometrial contractility. Furthermore, these data provide the first evidence that OTR-targeted liposomes can be used to administer therapeutic agents for the prevention of preterm birth in mice.

573 Further studies are necessary to determine the mechanism of OTR-targeted 574 liposome uptake in myocytes, the quantitative biodistribution of therapeutic agents achieved 575 in the uterus compared to other organs, and the rate of liposome clearance. Additional 576 studies have been planned to determine whether the use of OTR-targeted liposomes to 577 administer therapeutics for prevention of preterm birth is effective in reducing fetal side

- 578 effects, such as premature closure of the ductus arteriosus in response to IND exposure in
- 579 utero.

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603 Author Contributions

- 605 studies: JP, MI, JT. Sample collection: JP, MI, TB. Contraction bioassays: JP, MI, TB. Data
- 606 Analysis: JP, MI. Provided reagents and materials: RS, SH, JP. Manuscript Writing: JP, SH,
- 607 MI, JT, TB, RS.
- 608
- 609

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792 Table 1. Treatment groups for in preterm labor study

Group	One-time IP Injection	Daily IV injections				
(n)	(12:00pm on GA15, 150 μL)	(4:00pm, GA15 onwards, 150 μL)				
1	saline	saline				
2	0.7 μg/g LPS	50% DMSO				
3	0.7 μg/g LPS	1.0 mg/kg/day IND in 50% DMSO				
4	0.7 μg/g LPS	2.0 mg/kg/day IND in 50% DMSO				
5	0.7 μg/g LPS	OTR-targeted, drug-free liposomes in saline				
6	0.7 μg/g LPS	2.0 mg/kg/day IND via non-targeted liposomes in saline				
7	0.7 μg/g LPS	2.0 mg/kg/day IND via OTR-targeted liposomes in saline				
LPS, lipopolysaccharide; OTR, oxytocin receptor; IP, intraperitoneal; IV, intravenous; GA15,						
pregnancy day 15						

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796 Table 2. Average radiance of Dil-labelled liposomes detected in organs and neonates

	Average radiance (p/sec/cm2/sr)				
Organ (Hissus	(mean ± SEM)				
Organ / tissue _	Non-targeted liposomes	OTR-targeted liposomes			
	(n=4 animals)	(n=4 animals)			
Liver	9.73 × 10 ⁸ ± 9.1 × 10 ⁷	$7.37 \times 10^8 \pm 9.05 \times 10^7$			
Uterus	$5.04 \times 10^7 \pm 7.5 \times 10^6$	$3.57 \times 10^8 \pm 3.05 \times 10^7$			
Mammary tissue	$7.29 \times 10^7 \pm 6.05 \times 10^6$	$1.78 \times 10^8 \pm 6.47 \times 10^7$			
Brain	$3.97 \times 10^7 \pm 2.51 \times 10^6$	$7.03 \times 10^7 \pm 4.51 \times 10^6$			
Lung	$4.65 \times 10^7 \pm 4.82 \times 10^6$	$7.97 \times 10^7 \pm 2.94 \times 10^6$			
Kidney	$3.46 \times 10^7 \pm 1.78 \times 10^6$	$8.79 \times 10^7 \pm 9.51 \times 10^6$			
Heart	$2.79 \times 10^7 \pm 1.65 \times 10^6$	$5.52 \times 10^7 \pm 1.65 \times 10^6$			
Neonate	$-4.83 \times 10^7 \pm 1.30 \times 10^7$	$-1.03 \times 10^7 \pm 1.46 \times 10^7$			
Neonate	$-4.83 \times 10^7 \pm 1.30 \times 10^7$	-1.03 × 10 ⁷ ± 1.46 ×			

797 OTR, oxytocin receptor

798 Table 3. Rates of LPS-induced preterm birth and time between LPS injection and labor

			n		Time between LPS
	Group	Treatment Group		PTB rate	injection and
	No.			(%)	observed labor (h)
					(mean ± SEM)
	1	Control (no LPS, no liposomes)	12	0/12 (0)	109.7 ± 4.1
	2	LPS control (+ 50% DMSO)	18	12/18 (67)	50.8 ± 8.9
	3	LPS + 1.0 mg/kg IND (50% DMSO)	10	4/10 (40)	77.5 ± 14.1
	4	LPS + 2.0 mg/kg IND (50% DMSO)	13	4/13 (31)	86.6 ± 12.7
	5	LPS + OTR-targeted, drug-free liposomes	16	9/16 (56)	65.0 ± 9.9
	6	LPS + Non-targeted 2.0 mg/kg IND liposomes	12	7/12 (58)	55.6 ± 12.4
	7	LPS + OTR-targeted 2.0 mg/kg IND liposomes	11	2/11 (18)	101.3 ± 12.4
799	OTR, ox	ytocin receptor; PTB, preterm birth; LPS,	lipopoly	vsaccharide;	IND, indomethacin;
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Figure 1. Schematic of OTR-targeted liposome structure



Figure 2. Use of targeted liposomes to inhibit human uterine contractility in vitro. Data are contraction traces for strips of human myometrial tissue and corresponding AUC analyses. (A) Effect of OTR-targeted, drug-free control liposomes on myometrial contractions in vitro (n=3). (B) Effect of non-targeted (n=5) and OTR-targeted (n=4) NIF-loaded liposomes on myometrial contractions in vitro. (C) Effect of non-targeted (n=3) and OTR-targeted (n=3) SAL-loaded liposomes on myometrial contractions in vitro. Average AUC analyses covers 30 min immediately prior to and 30 min after treatment with NIF- or SAL-loaded liposomes (pre- and post-treatment, respectively). AUC analyses are paired t-tests.



of 3.0 µM DOF administered via non-targeted (n=3) or OTR-targeted (n=3) DOF-loaded liposomes on contractility *in vitro*. (B) Average contraction plateau duration for 4 contractions immediately prior to and after treatment with non-targeted or OTR-targeted DOF-loaded liposomes (pre- and post-treatment, respectively) (n=3 tissues strips each). *Unpaired t-test* (12 pre-treatment plateau durations vs 12 post-treatment plateau durations).

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893 Figure 4. Modulation of uterine contractility by targeted liposomes is reversible.

Data are contraction traces for individual strips of human myometrial tissue (A) Effect of nontargeted (n=3) and OTR-targeted ROL-loaded liposomes (n=3) on myometrial contractions *in vitro*. (Aii) demonstrates restoration of contractions after washout. (B) Average AUC for 30 min immediately prior to and 30 min after treatment with ROL-loaded liposomes (preand post-treatment, respectively). *AUC analyses were paired t-tests*.

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A. Organ/tissue arrangement



932 Figure 6. OTR-targeted liposomes accumulate in the uterus in vivo. Data are light or 933 fluorescence images captured shortly after labor by an IVIS-100 illustrating liposome 934 biodistribution that occurred in vivo. (A) Representative image demonstrating the 935 arrangement of organs and tissues of interest (liver, brain, lung, heart, kidney, uterus, 936 mammary tissue and a neonate). (B) Fluorescent detection of liposome biodistribution that 937 occurred in vivo. (Bi) Biodistribution of non-targeted liposomes (n=4). (Bii) Biodistribution of 938 OTR-targeted liposomes (n=4). (C) Quantitation of liposomal detection in different organs. 939 Average radiance (p/sec/cm²/sr) was determined for each organ and compared across 940 treatment groups (n=4 for each organ per group). Data were confirmed to be normally 941 distributed (Shapiro-Wilk normality test) then compared by 1-way ANOVA with multiple 942 comparisons (Holm-Sidak). Not all statically significant comparisons are indicated.









1. Saline control (no LPS, no liposomes) (n=12)

LPS control (+ 50% DMSO) (n=18)
 LPS + 1.0 mg/kg/day IND (free drug) in 50% DMSO (n=10)
 LPS + 2.0 mg/kg/day IND (free drug) in 50% DMSO (n=13)
 LPS + OTR-targeted, drug-free liposomes (n=16)



7. LPS + 2.0 mg/kg/day IND via OTR-targeted liposomes (n=11)

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Figure 7. Preventing preterm birth using OTR-targeted indomethacin-loaded 945 liposomes. Efficacy of targeted liposomes was assessed using a LPS mouse model of 946 947 preterm birth. (A) The effect of IND administered as free drug (1.0 or 2.0 mg/kg/day) or via 948 liposomal preparations (2.0 mg/kg/day) on rates of LPS-induced preterm birth. (B) Time (h) 949 between LPS injection and observed labor. (C) The number of live pups born for term 950 deliveries. No significant differences were recorded in the number of live pups. Preterm birth 951 rates were analyzed by Chi-square analysis. Data for time (h) between LPS injection and 952 labor were normalized (Y=Y²) (D'Agostino & Pearson normality test) then analyzed by 1-953 way ANOVA with multiple comparisons (Tukey). Number of live pups was normally distributed and analyzed by 1-way ANOVA with multiple comparisons (Tukey). 954

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A. Rate of preterm birth

B. Time between LPS injection and labor